



## UNITED STATES PATENT AND TRADEMARK OFFICE

(3)

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/627,848	07/28/2000	Rinaldo Di Giorgio	83000.1136/P4468/RSR

The Hecker Law Group  
1925 Century Park East  
Suite 2300  
Los Angeles, CA 90067



## FORMALITIES LETTER



\*OC000000005404962\*

Date Mailed: 09/18/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

710.00 OP  
130.00 OP  
000000058 09627848  
12/20/2000 AG0101  
01 FC:101  
02 FC:105

(3)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

DI GIORGIO et al.

Serial No: 09/627,848

Filed: July 28, 2000

For: METHOD AND APPARATUS FOR  
AUTHENTICATION AND  
PAYMENT FOR DEVICES  
PARTICIPATING IN JINI  
COMMUNITIESRESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D. C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application with a mailing date of September 18, 2000, Applicants have enclosed the following:

1. A check in the total amount of \$950, consisting of basic filing fee (\$710), surcharge fee (\$130) as set forth in 37 CFR §1.16(e) and a one-month extension fee (\$110) set forth in 37 CFR §1.17(a);
2. Original Declaration/Power of Attorney signed by the inventors;
3. A copy of the Notice to File Missing Parts;
4. Certificate of Mailing by EXPRESS MAIL; and
5. A return receipt postcard.

Pursuant to 37 C.F.R. §1.136(a), Applicants hereby petition the Commissioner of Patents and Trademarks to extend the time for filing a response to the Notice to File Missing Parts of Application mailed September 18, 2000 for one (1) month from November 18, 2000 to December 18, 2000. The requisite extension fee is enclosed as indicated above.

The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment associated with this communication to The Hecker Law Group, Deposit Account No. 08-1520.

Respectfully submitted,

THE HECKER LAW GROUP

Gary A. Hecker  
Reg. No. 31,023

Date: Dec. 14, 2000

1925 Century Park East  
Suite 2300  
Los Angeles, California 90067  
(310) 286-0377

**CERTIFICATE OF MAILING**

This is to certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL (Label No. EL705169886US) in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on Dec. 14, 2000.

Nancy J. McElrath  
Signature

12-14-00  
Date



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(4)

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 950)

## Complete if Known

Application Number	09/627,848
Filing Date	07/28/00
First Named Inventor	DI GIORGIO et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	83000.1136/P4468/RSH

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																													
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <input type="text"/></p> <p>Deposit Account Name <input type="text"/></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205 65 Surcharge - late filing fee or oath</td> <td>\$130</td> </tr> <tr> <td>127</td> <td>50</td> <td>227 25 Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139 130 Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147 2,520 For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112 920* Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113 1,840* Requesting publication of SIR after Examiner action</td> <td>110</td> </tr> <tr> <td>118</td> <td>110</td> <td>215 55 Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td>216 195 Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>890</td> <td>217 445 Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218 695 Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,890</td> <td>228 945 Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>310</td> <td>219 155 Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>220 155 Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>270</td> <td>221 135 Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138 1,510 Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240 55 Petition to revive - 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Gary A. Hickey, Esq.	Registration No. (Attorney/Agent)	31,023
Signature		Telephone	(310) 286-0377
		Date	Dec. 14, 2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



12-15-00

SECTOR \$

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Please type a plus sign (+) inside this box → 

PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/627,848
		Filing Date	07/28/00
		First Named Inventor	DI GIORGIO et al.
		Group Art Unit	
		Examiner Name	
Total Number of Pages in This Submission	8	Attorney Docket Number	83000.1136/P4468/RSR

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Additional Enclosure(s): 1) Copy of Notice to File Missing Parts; 2) Declaration and Power of Attorney; 3) Return postcard	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Gary A. Hecker, Esq. THE HECKER LAW GROUP	
Signature		
Date	Dec. 14, 2000	

CERTIFICATE OF MAILING		Express Mail Label No.
I hereby certify that this correspondence is being deposited with the United States Postal Service as <del>MAIL</del> in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Dec. 14, 2000		
Typed or printed name	Nancy V. McElrath	
Signature		Date 12-14-00

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